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*the sec's decision in the dao is a big deal in light of a recent ico mania in which dozens of small companies have raised millions*, **regime vs regimen grammarist** - *regime vs regimen a and regime is more often used to refer to a form of government or a particular administration for example*, **sec adopts changes to broker dealer rules** - *comments off on sec adopts changes to broker dealer rules print e mail tweet banks broker dealers capital requirements the net capital rule sec rule 15c3 1*, **remarks at the united nations security council ministerial** - *secretary tillerson thank you the regime's pursuit of nuclear weapons does not serve its own national security or the well being of a people trapped in tyranny*, **statement by secretary of defense jim mattis u s** - *statement by secretary of defense jim mattis press the dprk should cease any consideration of actions that would lead to the end of its regime and the*, **securities and exchange commission federal register** - 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*the sec head of officials joined the league coaches teleconference wednesday and offered more clarity about an lsu touchdown called back by penalty by rule that*, **sec rule 606 formerly rule 11ac1 6 valic** - *sec rule 606 rule requires all broker dealers that route orders in equity and option securities to make available quarterly reports that present a general*, **le groupe 17 r gime sec** - *le groupe 17 r gime sec bruno dutouring loading unsubscribe from bruno dutouring cancel unsubscribe working subscribe subscribed*, **sea rule 15c3 3 finra** - *vice president treasurer secretary or any person performing a similar function are principal officers customer for purposes of sea rule 15c3 3*, **sec is studying spotify's plan to bypass ipo in nyse listing** - *the company has remained in touch with sec officials since the agency has been weighing a proposed rule change at the new york stock exchange that*, **sec pcaob independence rules for non issuer audit and** - *note that while sec or pcaob independence rules may apply with respect to non issuer audit and attestation engagements other than those listed sec rule 17a 5*, **blog sec advisory committee recommends changes to rule** - *on wednesday of last week at the final meeting of the sec advisory committee on small and emerging companies soon to morph into the small business capital formation*, **sec completes jobs act rulemaking with amendments to** - *sec completes jobs act rulemaking with amendments to exchange act registration with the adoption*

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